POPIA Disclosure & Consent

Sterling Private Wealth · Client: **Pending applicant**
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THE PROTECTION OF PERSONAL INFORMATION (POPI) ACT DISCLOSURE AND CONSENT TO OBTAIN INFORMATION

The Protection of Personal Information (POPI) Act requires us to inform you how we use, disclose and destroy personal information we obtain from you. We are committed to protecting your privacy and will ensure that your personal information is used appropriately, transparently, securely and according to applicable law.

I, the undersigned, hereby consent to the following:

PROCESSING & SHARING

- My personal information may be collected, processed, recorded, used and must be safeguarded during the rendering of services to me by **STERLING PRIVATE WEALTH (PTY) LTD AND/OR STERLING PRIVATE CLIENTS ASSET MANAGEMENT (PTY) LTD** (hereinafter referred to as the FSP).
- The FSP may also add to my personal information, with information received from other product providers and third parties in order to offer a more comprehensive and appropriate service to me.
- The FSP may verify, share and disclose my personal information to their product providers and third parties whose services or products they use in order to adequately and appropriately render financial services to me.
- The FSP may also collect and processes my personal information for the FSP's own marketing purposes to ensure their products and services remain applicable and appropriate.
- The FSP is required by law to obtain and process information about you for the purposes of conducting 'Customer Due Diligence' (CDD) which includes enhanced due diligence. The purpose of CDD is to determine the risk that you may be engaged in money-laundering and/or terror-financing activities.

CUSTOMER DUE DILIGENCE (CDD)

Legislation requires us to verify:

- Your identity and that of any person whom you purport to represent, including your status as a prominent person as defined in the Act;
- Your place of residence and/or registration of your business;
- Your status as defined by reference to sections 26A (i.e. whether you are a person against whom financial sanctions have been imposed) and section 28A (i.e. whether you are a person in respect of whom there is an absolute prohibition against doing business with);
- The nature and ownership/control structure of your business; and

- The nature of our products and services, how they relate to your requirements and how you use them.

In certain circumstances and in the course of our CDD activities, we may avail ourselves of detail available about you in the public domain as well as additional detail we require to verify some of the information we collect about you. These sources may include commercially and publicly available information with regards to references made about and by you in, including but not limited, the press and media including social media, law enforcement agencies such as Interpol and information collected and processed about you by credit bureax and similar agencies including the verification of bank account details in your name.

I hereby consent to and authorise the FSP and any agency lawfully appointed by us to obtain and process the information as described above as part of our duty in law. Furthermore, I understand that:

YOUR POPIA RIGHTS

- I have the right to access my personal information which the FSP holds.
- I have the right to ask the FSP to update, correct or delete my personal information on reasonable grounds.
- Once I object to the FSP processing my personal information, the FSP may no longer process my personal information, within reasonable parameters unless to conclude a transaction or outstanding business.
- Should I wish to withdraw my consent to process my personal information, I must do so in writing, addressed to the FSP Information Officer.
- Once I withdraw my consent for the FSP to process my personal information, I understand that the FSP is still obliged under other legislation to keep the information for 5 years after termination of the relationship between the FSP and myself.
- The FSP may disclose my information where they have a duty or a right to disclose in terms of applicable legislation or where it may be necessary under any other law.

ACKNOWLEDGEMENT

☐ I confirm that I have read the POPIA disclosure above and consent to the FSP obtaining, processing, and sharing my personal information as described.

SIGNATURE

Signature not captured.

Signature _____

_____

(Client full name)